

09-19-01

H



Practitioner's Docket No. 791_161

PATENT



NEW APPLICATION TRANSMITTAL

Box Patent Application
Assistant Commissioner for Patents
Washington D.C. 20231

I hereby certify that this paper is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 addressed to **Box Patent Application, Assistant Commissioner for Patents, Washington, D.C. 20231** on September 18, 2001 under "EXPRESS MAIL" mailing label number **EL872659942US**.

Elizabeth A. VanAntwerp
Elizabeth VanAntwerp

Transmitted herewith for filing is the patent application of:

Inventor: Tomio SUZUKI
Address: c/o NGK Insulators, Ltd.
2-56, Suda-Cho, Mizuho-Ku
Nagoya, Aichi-Prefecture 467-8530
Japan

Title: MICROHOLE ARRAY, OPTICAL FIBER ARRAY, CONNECTOR, AND
PROCESS FOR PRODUCING MICROHOLE ARRAY

1. Papers enclosed

A. Required (in JAPANESE) for filing date under 37 CFR 1.53(b) (Regular) are:

- 18 Page(s) of Specification
- 3 Page(s) of Claims
- 1 Page(s) of Abstract
- 8 Sheets of Formal Drawings (Figs. 1-16)

B. Other Papers Enclosed

- ☒ A Patent Application Bibliographic Data
- ☐ A Preliminary Amendment
- ☐ An Information Disclosure Statement
- ☐ PTO Form-1449

2. Additional Papers to Follow Under Separate Cover

- ☒ A combined Declaration and Power of Attorney
- ☒ A Claim for Priority and certified copies of:

Japanese Application 2001-162148 filed May 30, 2001 and
Japanese Application 2001-223931 filed July 25, 2001

- ☒ An Assignment Transmittal and Assignment of the invention to:

NGK Insulators, Ltd.
2-56, Suda-Cho, Mizuho-Ku
Nagoya, Aichi-Prefecture 467-8530
Japan

09954712-091801

3. The correspondence address for this application is: Customer No.: 025191

Telephone: (315) 233-8300
Facsimile: (315) 233-8320

Electronic Mail: vananl@macklaw.com

4. Nonpublication Request Under 35 U.S.C. 122(b)(2)(B)(i)

☐ Applicants hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

☐ Applicants hereby request that the attached application **not** be published under 35 U.S.C. 122(b).

5. Small Entity Status

☐ Applicant(s) claim(s) small entity status. See 37 CFR § 1.27.

6. The filing fee has been calculated as shown below:

- A. Filing Fee
☒ Original Patent Application \$ 710.00
(37 C.F.R. 1.16(a) --\$710.00; Small Entity--\$355.00)
- B. Fees for Claims (21 Claims; 4 Indep.)
☒ each independent claim in excess of 3 \$ 80.00
(37 C.F.R. 1.16(b) --\$80.00; small entity--\$40.00)
☒ each claim in excess of 20 \$ 18.00
(37 C.F.R. 1.16(c) --\$18.00; small entity--\$9.00)
☐ multiple dependent claim(s) \$.00
(37 C.F.R. 1.16(d) --\$270.00; small entity--\$135.00)
- C. Surcharge fees
☒ late payment of filing fee \$ 130.00
(37 C.F.R. 1.16(e) --\$130.00; small entity--\$65.00)
- and/or
- ☒ late filing of original declaration or oath \$.00
(37 C.F.R. 1.16(e) --\$130.00; small entity--\$65.00)
- NOTE: If both the filing fee and declaration or oath were missing from the original papers, only one surcharge fee for both need be paid. 37 C.F.R. 1.16(e).*
- D. ☒ Fee for processing an application filed with a specification in a non-English language \$ 130.00
(37 C.F.R. 1.17(k) and 1.52(d) --\$130.00)
- E. ☒ Assignment Fee \$ 40.00

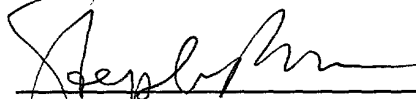
Total Fees Due \$ 1,108.00

09954712.091801

**7. Payment of Fees and Authorization to Charge Additional Fees
or Credit Overpayment**

- ☐ A check in the amount of _____ is enclosed.
- ☐ The Commissioner is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 50-1446. A duplicate copy of this sheet is enclosed.
- ☐ Any additional filing fees required under 37 CFR 1.16.
- ☐ Any patent application processing fees under 37 CFR 1.17.

Respectfully submitted,



Stephen P. Burr
Reg. No. 82,970

September 18, 2001

Date

SPB/eav

BURR & BROWN
P.O. Box 7068
Syracuse, NY 13261-7068

Customer No.: 025191
Telephone: (315) 233-8300
Facsimile: (315) 233-8320

095413 091801
T09160 "214560